Infrastructure Funding Statement (IFS) Report

Erewash Borough Council

December 2022

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# **1. Introduction**

## **1.1. What is an Infrastructure Funding Statement (IFS)?**

An Infrastructure Funding Statement is a publication that must identify all live and historic S106 agreements since January 2020 when the IFS was first introduced. The report contains a breakdown of the contributions and an annual overview of developer contribution transactions. The information presented by the publication will enhance both policy makers and communities understanding of developer contributions being invested into local infrastructure and will showcase the efforts of key planning and development stakeholders contributing positively to enhancements within local areas. The Community Infrastructure Levy (CIL) Regulations require all local planning authorities to report at least annually on developer contributions.

## **1.2 Section 106 Agreements (S106)**

Planning obligations under S106 of the Town and Country Planning Act (1990), often referred to as S106 agreements, are legally binding documents which ensure proposed developments are acceptable in a planning context. This includes not only the physical infrastructure such as roads and utilities that enable development to proceed, but also the community facilities and environmental improvements which will ensure occupiers of those developments have adequate access to services such as education and leisure activities, helping to play an important role in enhancing their quality of life and building sustainable communities.

S106 agreements relate to the impact of a development on a site-specific basis and can be used to:

* Secure monies to pay the Local Planning Authority on specified dates for identified purposes.
* Restrict land use/development in any specified way.
* Specify operations and activities.

Financial obligations can, amongst other things, include contributions towards affordable housing, open space, public realm improvements and provision of forms of sustainable transport. All obligations can be subject to planning conditions and can determine the timing of specific obligations. For example, the local planning authority can set triggers for when obligations are expected, such as a payment for education facilities being required upon completion of the 100th dwelling on a housing development site. S106 agreements are enforceable and failure to comply can result in the local planning authority taking action to recover obligations.

## **1.3 Community Infrastructure Levy (CIL)**

CIL is a charge which local authorities can enforce on new developments to help deliver infrastructure needs in their areas. The levy utilises an agreed charging schedule which defines what contributions are expected of a development. Erewash Borough Council presently does not have a CIL in place, nor does it have any immediate plans to progress one.

## **1.4 Context of the Council’s Infrastructure Planning**

In 2015, the Borough Council adopted a Developer Contributions Supplementary Planning Document (SPD). The SPD identifies the infrastructure needs of the Borough and assesses the viability of new housing developments, setting out what is expected from eligible schemes to meet those needs. It provides further detail of what development is expected to contribute towards. The SPD is a material consideration when assessing planning applications. The document conforms to the Erewash Core Strategy, adopted in 2014, and is underpinned by various policies including **Policy 19: Developer Contributions** and supporting policies such as **Policy 8 – Housing Size, Mix and Choice** in relation to affordable housing and **Policy 15 – Transport Infrastructure Priorities** in relation to sustainable transport.

The SPD identifies Erewash’s critical infrastructure requirements which include producing an Ilkeston Travel Plan, enhancing cycling and walking networks, improvements to bus services, increasing school places in Ilkeston, town centre improvements and creating and improving areas of open space. The expected S106 contributions arising from a development vary on a site-by-site basis and depend on components such as viability (taking account of market conditions), location and size of development.

## **1.5 What does the IFS include?**

This is the Borough Council’s third published IFS and covers the 2021-2022 monitoring year. Monitoring years run between 1st April to 31st March. Due to records being available, this IFS shows the status of contributions up to **30th November 2022**. The following dataset will show transactions for all S106 agreements which are presently live and historic S106 agreements since January 2020. The IFS has been created using the following three data files:

* **CSV 1 Developer Agreements:** All live and historic S106 agreements since January 2020 for Erewash Borough Council. It includes agreements which relate to granted planning permissions at various stages of development, including granted, started and some completed developments, where S106 contributions are still pending. Key information includes the relevant planning permission reference and the date the S106 agreement was legally signed and sealed.
* **CSV 2 Developer Contributions:** The individual obligations within each S106 Agreement and outlines the amount / measure and its purpose.
* **CSV 3 Developer Agreement Transactions:** All transactions of S106 contributions for the S106 agreements. This dataset will include transactions prior to the 2019/2020 monitoring year as some agreement transactions are still live as the S106 Agreement is not yet complete. For instance, money has not been fully spent or not all of the money for the overall agreement has been received. Transactions include securing, receiving, allocating, transferring, spending and returning S106 contributions.

The raw data for S106 agreements is presented in the three sets of CSV data files. This report provides further information on the agreements, contributions and transactions and should be considered alongside the CSV files for a full understanding of Erewash Borough Council’s live S106 agreements. S106 agreements are live due to their relationship with a development’s progress and the exchanges of contributions.

# **2. CSV 1 Data**

Erewash currently has twelve planning permissions with live S106 agreements. These are shown in the map at Appendix One of this report. Table One presents the breakdown of when the S106 agreements were signed. The agreements are located in different areas of the Borough as presented in Table Two.

### **Table One** – Number of live S106 agreements signed each year (January – December)

| Year Agreements Signed | Number of Live Agreements\* | Live Agreement reference number |
| --- | --- | --- |
| 2013 | 0 | - |
| 2014 | 1 | 0514/0037 |
| 2015 | 2 | 0716/0012, 1014/0006 |
| 2016 | 1 | 0115/0018 |
| 2017 | 3 | 0117/0021, 0516/0017, 0919/0062 |
| 2018 | 0 | - |
| 2019 | 1 | 1118/0006 |
| 2020 | 1 | 0819/0012 |
| 2021 | 0 | - |
| 2022 | 3 | 0920/0050, 1221/0002, 1021/0018 |
| Grand Total | **12** | **-** |

*\*This includes one Unilateral Undertaking for ERE/1118/0006 – 101 Poplar Inn, Ilkeston.*

### **Table Two** – Live S106 agreements by area as at 30th November 2022.

| Area | Number of Live S106 Agreements |
| --- | --- |
| Sandiacre | 2 |
| Ilkeston | 9 |
| Long Eaton | 1 |

Table three below shows the S106 agreements which are in the CSV1 file and are no longer live S106 agreements, this is due to the planning applications either lapsing or the contributions being fully spent.

### **Table Three** – Closed S106 agreements in CSV1

| Year Agreement Signed | Agreement Reference number | Reason S106 no longer live |
| --- | --- | --- |
| 2013 | 0512/0002 | Contributions spent by 2019 |
| 2014 | 0216/0012 | Contributions spent by 2020 |
| 2015 | 0315/0034 | Lapsed – new permission has no S106 |
| 2015 | 0402/0083 | Contributions spent by 2021 |
| 2015 | 0515/0017 | Contributions spent by 2022 |
| 2018 | 0417/0027 | Permission lapsed |
| Grand Total | **6** | **-** |

Unilateral Undertaking are forms of legal planning agreements which are only entered into by the landowner and the Council are not party to such agreements. ERE/1118/0006 has been included in this table because it includes the collection of funding for an Infant School in Erewash.

There are also seven other Unilateral Undertakings that are live in the Borough, outlined in Table Four. The information presented in Table Four does not feature in any other data and graphics shown in this report or the accompanying CSV files.

### **Table Four** – Unilateral Undertakings in Erewash (excluding ERE/1118/0006).

| Planning Reference | Site Address | Description of Unilateral Undertaking |
| --- | --- | --- |
| ERE/0420/0042 | Former Nylatex Works, Fields Farm Road, Long Eaton, Derbyshire | £28,000 - to be paid to the Council prior to the commencement of the development. To be used towards the removal of lesser bulrush, rigid hornwort and common reed within the ponds at Forbes Hole Nature Reserve to ensure coverage of no more than 20% and the resurfacing of the car park and paths within the nature reserve. |
| ERE/0919/0013 | Maywood Golf Club, Rushy Lane, Sandiacre | The owner covenants with the Council that the owners will not apply for golf course related development in respect of the property. |
| ERE/1217/0009 | Tesco Extra Car Park, Waverley Street Long Eaton | Delivery and Servicing Management Plan: A plan setting out how the servicing of the restaurant forming part of the development shall take place from the Delivery Layby which shall be in accordance with the draft delivery and servicing management plan annexed to this Deed, or in accordance with such other delivery and servicing management plan or terms as agreed between the Owners and the Council from time to time. |
| Not applicable | Bennerley Avenue, Ilkeston | £40,000 - Sports Contribution: Payable to the Enforcing Authority for the improvements of sports facilities at Abbotsford, Ilkeston. |
| ERE/0621/0075 | Boys Wood Farm, Ockbrook | The previous application ERE/0919/0049 approved for the construction of an agricultural workers bungalow shall be withdrawn and will be revoked upon the grant of planning approval for its relocation on site. |
| ERE/0718/0004 | Land At Sure Start, Beauvale Drive, Ilkeston | £6,000 towards the cost of enhancements to open space. |
| ERE/0321/0103 | Land North West of Winster Building, Manners Avenue, Ilkeston | £32,000 towards the enhancement of biodiversity at Manner Floods Local Nature Reserve. |

# **3. CSV 2 Data**

CSV2 requires the Local Planning Authority to categorise each contribution into purposes. The following section provides headline data on the contribution purposes of the 12 live agreements in Erewash. Some of the purposes are broken down into a number of payments within the CSV files, due to this, in table five below only one purpose is counted per S106 agreement.

### **Table Five** – Number of contribution purposes across all live S106 Agreements.

| Contribution Type | Number of Contributions | Examples of Contribution Type |
| --- | --- | --- |
| Open Space and Leisure | 10 | Improvements to open space, provision of seating and waste bins. |
| Affordable Housing | 7 | Creation of purpose-built affordable housing units. |
| Education | 8 | Funding for school places, new classrooms and support space and improvement to facilities. |
| Transport and Travel | 6 | Creation of a travel plan and enhancements to walking, bus and cycling networks. |
| Economic Development | 1 | Improvements to public realm and town centres and creation of public art features. |
| Green Infrastructure | 1 | Multi-user link connections and improvements within Erewash’s strategic GI network. |
| Flood and Water Management | 1 | Creation of receptor sites and maintaining a surface water drainage scheme. |
| Highways | 2 | Creation of crossing points and highway improvement works based on development impact. |
| Community facilities | 1 | Books for Sandiacre Library |
| Other | 1 | Restoration works of Derby and Sandiacre Canal |

### **Table Six** – The monetary value by contribution purpose across all 12 live S106 agreements.

| Contribution Purpose | Total Amount\* |
| --- | --- |
| Community Facilities | £3,740 |
| Economic Development | £26,000 |
| Education | £1,623,630 |
| Flood and Water Management | £3,783 |
| Green Infrastructure | £200,000 |
| Highways | £130,000 |
| Open Space and Leisure | £436,217 |
| Other | £97,498 |
| Transport and Travel | £1,112,017 |
| Grand Total | £3,617,485 |

*\*rounded to the nearest pound*

### **Table Seven** – The number of expected affordable housing unit contributions.

| Reference | Location | Address | Affordable Housing Quantity |
| --- | --- | --- | --- |
| 0516/0017 | Ilkeston | Land at Field Road, Ilkeston (Morrisons site) | 6 |
| 0919/0062 | Ilkeston | Land at the allotments, Devon Street, Ilkeston | 3 |
| 1118/0006 | Ilkeston | 101 Poplar Inn, Ilkeston | 6 |
| 0115/0018 | Ilkeston | Hallam Mills, Little Hallam Lane, Ilkeston | 30% (5) |
| 0117/0021 | Ilkeston | Former Concord Public House, Green Lane, Ilkeston | 10% (1) |
| 0716/0012 | Ilkeston | Quarry Hill Road, Ilkeston | 15% (52) |
| Grand Total | **-** | **-** | **73** |

\**includes two special needs housing units.*

Included in Table Seven are affordable housing unit contributions via specified delivery amounts as well as amounts assumed by a percentage of the total number of dwellings delivered on the site. Three planning permissions provide a percentage of affordable housing units based on the total number of units on the site.

### **Table Eight** - Agreements for different purposes, excluding affordable housing and monetary value contributions.

| Contribution Purpose | Number of Agreements | Contribution Detail |
| --- | --- | --- |
| Flood and Water Management | 1 | At the Quarry Hill Road Development: Maintain Surface Water Drainage Scheme: The Owner must maintain elements of the Surface Water Drainage Scheme in accordance with the agreed maintenance scheme. |
| Green Infrastructure | 1 | At the Quarry Hill Road Development: Identification, preparation and translocation of identified ecological sites. The identified receptor sites in the Ecology Report, or any alternative sites agreed in writing with the Council, shall be prepared for translocation or replacement which shall include future management and shall be approved in writing. The translocation or replacement of ecological sites. The translocation or replacement of turf from land to the Off-Site Receptor Area shall be carried out in accordance with the approved scheme. |
| Open Space and Leisure | 1 | At the Quarry Hill Road Development: 1) Complete the development of the Open Space Land. The Owner agrees to layout and complete the development of Open Space Land in accordance with details approved under the Change of Use Permission. The Owner must maintain the Open Space in accordance with the management plan. 2) Provide a Local Equipped Area for Play in accordance with the approved details. |
| Highways | 1 | Three new accesses into the New Stanton Park Site, new “No HGV” signs in three locations relating to the New Stanton Park Site, improvement to the Sandiacre traffic lights and transfer of land. |

# **4. CSV 3 Data**

The following section presents information regarding the status of contribution transactions. Some contributions are not included in CSV3 as it is only when contributions have met their specified trigger and the contribution is secured, that it finally meets the criteria of CSV3. Table Nine presents the definitions of the different types of transaction.

### **Table Nine** – Key definitions for CSV3 adopted from www.GOV.UK/guidance.

| Action | Definition |
| --- | --- |
| Secured | The trigger clauses associated with the contribution have been met, meaning the developer is now required to pay all or part of the contribution received: the developer has paid all or part of the money due to the local planning authority. |
| Received | The developer has paid all or part of the money due to the local planning authority |
| Allocated | The received money has been allocated to a team within the local planning authority, who will spend the money. |
| Transferred | The received money has been transferred to an organisation outside the planning authority (for example another local authority Derbyshire County Council), who will spend the money. |
| Spent | The received money has been spent on the purpose specified in the section 106 or 278 agreement. |
| Returned | The received money (or a portion of it) has been returned to the developer. |

### **Table Ten** – Contribution values shown in their latest transaction type / year[[1]](#footnote-1)

| Year | Allocated | Secured | Spent | Transferred |
| --- | --- | --- | --- | --- |
| 2016 | - | - | - | £45,496.00 |
| 2017 | - | - | - | £25,300.00 |
| 2018 | - | - | - | £22,798.02 |
| 2019 | £107,514.90 | - | £262,951.00 | £48,000.00 |
| 2020 | £45,362.00 | - | £15,000.00 | £1,311,264.70 |
| 2021 | £178,870.00 | - | £100,000.00 | £253,455.08 |
| 2022 | £134,264.44 | £105,326.04 | - | - |

### **Table Eleven** – Monetary contributions allocated

| Year Allocated | Address | Application Reference | Contribution Purpose | Allocated Amount |
| --- | --- | --- | --- | --- |
| 2019 | Gardner Aerospace, Cotmanhay Road, Ilkeston | 0514/0037 | Open Space and Leisure | £29,999.90 |
| 2019 | Gardner Aerospace, Cotmanhay Road, Ilkeston | 0514/0037 | Transport and Travel | £35,615.00 |
| 2019 | Quarry Hill Road, Ilkeston | 0716/0012 | Transport and Travel | £41,900.00 |
| 2020 | Quarry Hill Road, Ilkeston | 0716/0012 | Transport and Travel | £41,900.00 |
| 2020 | The Commercial Inn, Awsworth Road, Ilkeston | 1014/0006 | Transport and Travel | £3,462.00 |
| 2021 | Former Concord Public House, Green Lane, Ilkeston | 0117/0021 | Open Space and Leisure | £4,200.00 |
| 2021 | Former Concord Public House, Green Lane, Ilkeston | 0117/0021 | Transport and Travel | £19,600.00 |
| 2021 | Hallam Mills, Little Hallam Lane, Ilkeston | 0115/0018 | Open Space and Leisure | £5,770.00 |
| 2021 | Land at Field Road, Ilkeston (Morrisons site) | 0516/0017 | Transport and Travel | £57,400.00 |
| 2021 | Quarry Hill Road, Ilkeston | 0716/0012 | Highways | £50,000.00 |
| 2021 | Quarry Hill Road, Ilkeston | 0716/0012 | Transport and Travel | £41,900.00 |
| 2022 | Quarry Hill Road, Ilkeston | 0716/0012 | Transport and Travel | £17,598.00 |
| 2022 | Quarry Hill Road, Ilkeston | 0716/0012 | Transport and Travel | £116,666.66 |
| Grand Total | **-** | **-** | **-** | **£466,011.56** |

### **Table Twelve** – Monetary contributions secured

| Year Secured | Address | Application Reference | Contribution Purpose | Allocated Amount |
| --- | --- | --- | --- | --- |
| 2022 | Land at the allotments, Devon Street, Ilkeston | 0919/0062 | Education | £45,596.04 |
| 2022 | Land at the allotments, Devon Street, Ilkeston | 0919/0062 | Open Space and Leisure | £6,930.00 |
| 2022 | Land at the allotments, Devon Street, Ilkeston | 0919/0062 | Transport and Travel | £52,800.00 |

### **Table Thirteen** - Monetary contributions spent

| Year Spent | Address and Application Reference | Contribution Description | Sum of amount |
| --- | --- | --- | --- |
| 2016-2019 | Derby College Field Road, Ilkeston  0512/0002 | Economic Development –  Town Centre Improvements Contribution: Expenditure:   * Former Co-op department store, Market Place £53,300 (30.03.2016) * Former Woolworths store, Bath Street £100,000 (30.12.2016) * Benches and Floral planters, Bath Street, Market Place and South Street St   £6,768.64 (01.08.2019)   * Former Woolworths store, The Project, Bath Street   £3,965 (04.11.2019)   * Public toilets, Market Place   £62,302.04 (19.12.2019)   * Car park machines £12,395 (10.12.2019)   Illustrated town centre maps, car parks £9,482.36 (12.12.2019) | £250,000.00 |
| 2019 | Land at former SE Derbyshire College, Cavendish Road, Ilkeston  0515/0017 | Open Space and Leisure –  The enhancement of facilities at Inglefield Road play area | £3,300.00 |
| 2019 | Stanton Vale School, Ilkeston  0216/0012 | Open Space and Leisure –  Enhancement of Stanton Road Cemetery | £9,651.00 |
| 2020 | Derby College Field Road, Ilkeston  0512/0002 | Economic Development –  Public Art provided as part of new college facility at Pimlico. | £15,000.00 |
| 2021 | Land at Victoria Mill, Draycott  0402/0083 | Affordable Housing –  Towards the development of affordable homes at Green Lane, Ilkeston | £100,000.00 |
| Grand Total | **-** | **-** | **£377,951.00** |

### **Table Fourteen** - Completed Affordable Housing built via developer contributions

| Application Reference | Address | Number of Units |
| --- | --- | --- |
| 0115/0018 | Hallam Mills, Little Hallam Lane, Ilkeston | 5 Affordable Housing Units |
| 0516/0017 | Land at Field Road, Ilkeston (Morrisons site) | 4 Affordable Housing Units |
| 0515/0017 | Land at former SE Derbyshire College, Cavendish Road, Ilkeston | 5 Intermediate Housing Units |
| 0216/0012 | Stanton Vale School, Lower Stanton Road, Ilkeston | 2 Social Rent Units and 1 immediate Housing Unit |
| 0117/0021 | Former Concord Public House, Green Lane, Ilkeston | 1 Affordable Housing Unit |
| 0919/0062 | Land at the allotments, Devon Street, Ilkeston | 22 Affordable Rent, the S106 originally requested 3, in the end the entire development became affordable housing |
| Total units | - | **40 units** |



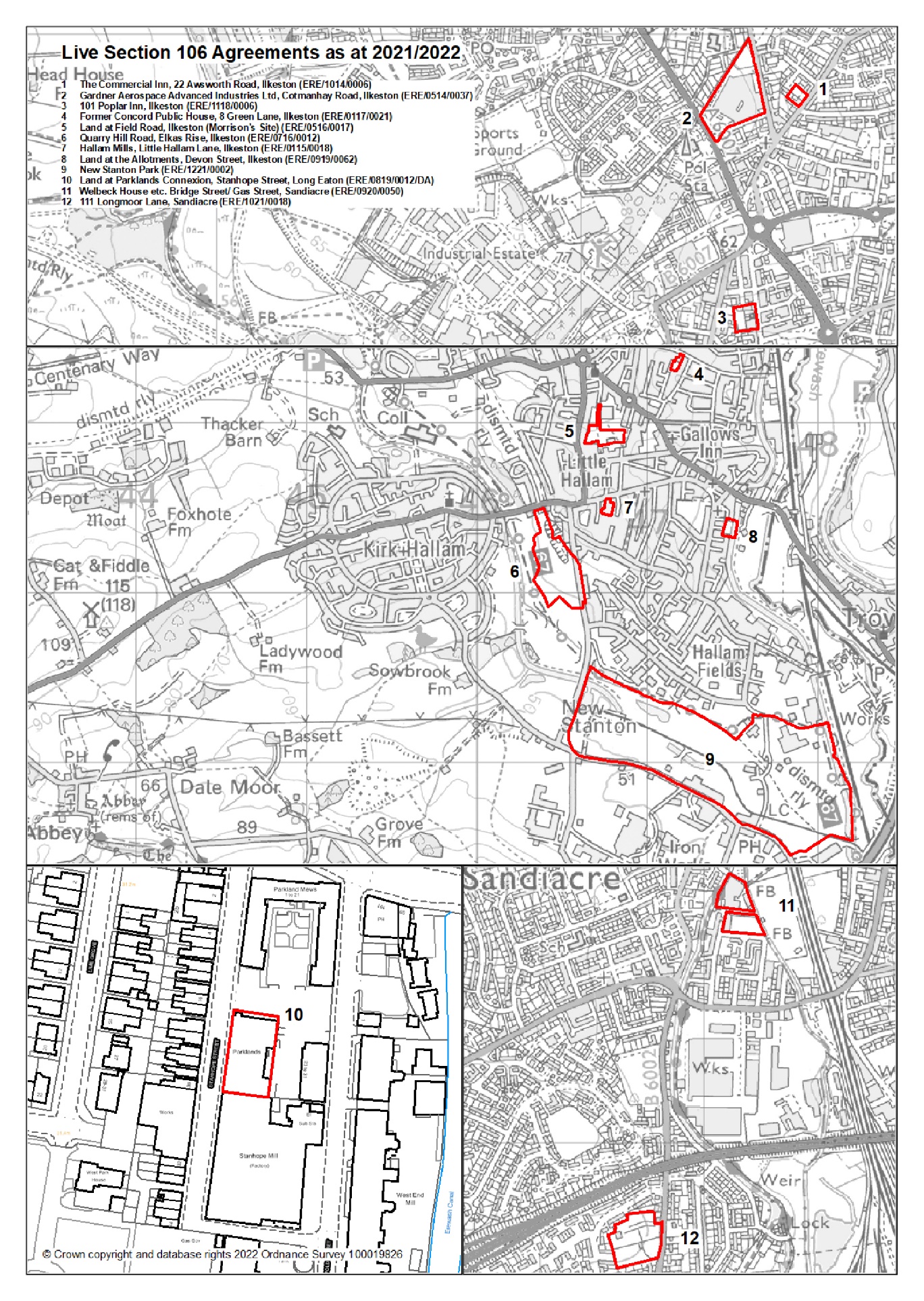
Figure 1 – Land at allotments, Devon Street, Ilkeston. 22 affordable homes are now complete.

### **Table Fifteen** – Contributions transferred to Derbyshire County Council

| Contribution Type | Application Reference | Address | Date Contribution Received | Contribution Detail | Contribution Spent On: | Contribution Value (£) |
| --- | --- | --- | --- | --- | --- | --- |
| Education | 0115/0018 | Hallam Mills, Little Hallam Lane, Ilkeston | 15/02/2021 | Provision and/or improvements to educational facilities at Field House Infant School and Hallam Fields Junior School | Not Yet Spent | £45,596.00 |
| Education | 0515/0017 | Land at former SE Derbyshire College, Ilkeston | 11/07/2017 | Additional pupil places at Field House Infant School and Hallam Fields Junior School | Not Yet Spent | £25,300.00 |
| Education | 0516/0017 | Land at Field Road, Ilkeston (Morrisons site) | 29/01/2021 | New or improved facilities at Field House Infants School and Hallam Fields Junior School | Not Yet Spent | £91,192.08 |
| Education | 0716/0012 | Quarry Hill Road, Ilkeston | Part 1 28/08/2020  Part 2  22/03/2021 | For additional infant places at Field House Infant School and for the provision of additional junior places at Hallam Fields Junior School. | Not Yet Spent | Part 1 £398,965.35  Part 2 £398,965.35 |
| Education | 0216/0012 | Stanton Vale School, Lower Stanton Road, Ilkeston | 12/01/2016 | 4 primary school places at Field House Infant School and Hallam Fields Junior School | £22,748 spent on Field House Infant. 28/07/2017 Multi Use Games Area. £22,748 allocated to Hallam Fields but unspent as yet. | £45,496.00 |
| Education | 1014/0006 | The Commercial Inn, Awsworth Road, Ilkeston | 22/02/2018 | £11399.01 for an additional infant pupil place at Charlotte Nursery and Infant School and £11399.01 for an additional junior pupil place at Granby Junior School. | Classroom extension. Funded in advance by basic need. Funds used to repay basic need pot. | £22,798.02 |
| Bus Provision | 0716/0012 | Quarry Hill Road, Ilkeston | Part 1  08/03/2019  Part 2  28/08/2020  Part 3  16/02/2021 | The provision of or improvement of bus services along Quarry Hill Road. £700,000. To be paid in 6 instalments of £116,667 (approximately) | Not Yet Spent | Part 1  £116,667  Part 2  £116,667  Part 3  £116,667 |
| Bus stop facilities | 0716/0012 | Quarry Hill Road, Ilkeston | 08/03/2019 | Provision of or improvement to bus stops and associated facilities along the route of bus services serving Quarry Hill Road. | Not Yet Spent | £48,000.00 |
| Crossing Contribution | 0716/0012 | Quarry Hill Road, Ilkeston | 01/01/2020 | For the creation of a crossing point on Quarry Hill Road to provide pedestrian links to Hallam Fields School. | Not Yet Spent | £80,000.00 |
| Onsite Multi-User Link | 0716/0012 | Quarry Hill Road, Ilkeston | 01/01/2020 | For the provision of multi-user links from the boundary of the land onto Little Hallam Hill, Quarry Hill Road and the Nutbrook Trail. | Not Yet Spent | £100,000.00 |
| Grand Total | **-** | - | - | - | - | **£1,606,313.80** |

### 

# **Appendix One** – Map showing the twelve live S106 Agreements 2021/2022.



1. Table Ten presents the contribution values in their latest transaction type and year. A contribution will not appear more than once in this table. For example, if a contribution was received in 2016, allocated in 2018 and spent in 2019, the value will only be shown as spent in the 2019 column as this is the most recent transaction to occur. This provides the most up-to-date information and avoids duplication within the table. [↑](#footnote-ref-1)